JC03 Rec'd PCT/PTO 0 3 OCT 2007

| Form PTO- | 1300 | | ATTORNEY'S DOCKET NUMBER | | | | | | | | |
|---|---|---|---|--|--|--|--|--|--|--|--|
| | RANSMITTAL LETTER TO | 21108.0028U2 | | | | | | | | | |
| | DESIGNATED/ELECTED | U.S. APPLICATION NO. (if known, see 37_CFR-1.5) | | | | | | | | | |
| | CONCERNING A FILING | 10/551833 | | | | | | | | | |
| INTER | NATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | |
| PCT/U | S2004/010328 | 02 April 2004 | 04 April 2003 | | | | | | | | |
| TITLE OF INVENTION: | | | | | | | | | | | |
| IDENT | IDENTIFICATION OF NOVEL NOGO-RECEPTORS AND METHODS RELATED THERETO | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US: | | | | | | | | | | | |
| GIGER, Roman J. | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. 🛛 | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| 2. 🗆 | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| 3. 🗆 | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. 🗆 | The US has been elected by the expiration of 19 months from the priority date (Article 31). | | | | | | | | | | |
| 5. 🛛 | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | |
| | a. ☐ is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | | | | |
| ļ | <u> </u> | | | | | | | | | | |
| 6. 🗆 | c. \boxtimes is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | |
| 0. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | |
| | a. is attached hereto. | | | | | | | | | | |
| l | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 7. 🛛 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | |
| | d. 🛮 have not been made and will not be made. | | | | | | | | | | |
| 8. 🗀 | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | |
| 9. 🔲 | An oath or declaration of the in | nventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. 🗆 | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | |
| Item | s 11 to 20 below concern docu | ument(s) or information included: | | | | | | | | | |
| 11. 🗆 | | | | | | | | | | | |
| 12. 🗆 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | |
| 13. 🖾 | A FIRST preliminary amendment. | | | | | | | | | | |
| 14. | A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | | |
| 16. | A change of power of attorney | and/or address letter | | | | | | | | | |
| | | | DCT Pulo 13tor 2 and 35 U.S.C. 1 821 1 825. The | | | | | | | | |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1. contents of the paper copy and the computer-readable form of the Sequence Listing submitted herewith and include no new matter, as required by 37 C.F.R. 1.821(f). | | | | | | | | | | | |
| 18. 🔲 | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 19. 🔲 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 20. Other items or information: Certificate of Express Mailing Under 37 C.F.R. § 1.10 | | | | | | | | | | | |
| I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as Express Mail, Label No. EL9970612905US in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below. | | | | | | | | | | | |
| Q. letto 10.3.05 | | | | | | | | | | | |
| Adrienne D. Mittons Date | | | | | | | | | | | |
| | | | | | | | | | | | |

JC20 Rec'd PCT/PTO 03 OCT 2005

| U.S. APPLICATION NEW (TROOMS, SEE 3 TOF FIF 16) | | | | INTERNATIONAL APPLICATION NO. PCT/US2004/010328 | | ATTORNEY DOCKET NUMBER 21108.0028U2 | | | |
|---|---|-----------------------------|-------------|--|---------------------------|--|--------------------------|--------------------------|--|
| | The follow | ing fees hav | e been s | ubmitted | | | CALCULATIONS | PTO USE ONLY | |
| 21. 🛭 Ba | | fee | \$ 300.00 | | | | | | |
| 22. 🛭 Ex | kamination pared by USP | fee TO and all claims | \$ 200.00 | | | | | | |
| All other situations \$200.00 23. Search Fee If Search fee (37 CFR 1.445(a)(2) in International Application to USPTO as ISA \$100.00 ISR prepared and provided to USPTO \$400.00 | | | | | | | \$ 100.00 | | |
| | | | | | | | | | |
| All other situations | | | | | | | \$ 600.00 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total She | ets Extra Sheets | | fra | No. of each additional 50 or fraction thereof (round up to a whole number) | | RATE | | | |
| 156 - | 100 | | | | X \$250 | | \$ 500.00 | | |
| | | or furnishing the | \$ 130.00 | | | | | | |
| | CLAIMS | | | ER FILED | NUMBER EXTRA | RATE | | | |
| | Total claims | - | | - 20 = | 44 | X \$50.00 | \$ 2200.00 | | |
| Independer | | | | 3 - 3 = | 15 | X \$200.00 | \$ 3000.00 | - | |
| MULTIPLE | DEPENDEN | IT CLAIM(S) (i | f applicabl | | | + \$360.00 | \$ 0.00 | | |
| | | | | | L OF ABOVE CA | | \$ 6430.00 \$ 3215.00 | | |
| | ☑ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½ SUBTOTAL = | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 months ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ 0.00 | | | |
| TOTAL NATIONAL FEE = | | | | | | | \$ 3215.00 | - | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property | | | | | | \$ 0.00 | | | |
| | | | \$ 3215.00 | | | | | | |
| | | | | | | | Amount to be refunded: | \$ | |
| | | | | | | | charged: | \$ | |
| a. 🔲 | | | | | cover the above | | | | |
| b. 🗆 | | harge my De eet is enclo | | ccount No.1 | 4-0629 in the am | ount of \$ | _ to cover the above | e fees. A duplicate copy | |
| c. 🛛 | overpayr | nent to Depo | osit Acco | ount No. 14 | -0629. A duplicate | e copy of this shee | | • | |
| d. ⊠ | informat | ion should | not be i | included o | n this form . Prov | ride credit card info | | zation on PTO-2038. | |
| e. ⊠ | Pursuant to 37 C.F.R. §1.136(a)(3), the Commissioner is hereby requested and authorized to treat any concurrent or future reply in the above-identified application, requiring a petition for an extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. | | | | | | | | |
| NOTE: | NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status. | | | | | | | | |
| SEND ALL TO THE ADDRESS ASSOCIATED WITH: : \(\square\) | | | | | | | | | |
| | | Customer N | 211 | | | | | | |
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| | 43,791 REGISTRATION NUM | | | | | | | | |
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